PTO/SB/21 (09-04) (AW 10/2004)

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respondence after initial filing)

Total Number of Pages in This Submission

Patent Number	6,862,401	7711613
Issue Date	March 1, 2005	
First Named Inventor	Takashi Higashida et al.	Certificate
Art Unit	2616	JUN 1 4 2005
Examiner Name	Robert Chevalier	of Correction
Attorney Docket No.	MTS-3224US	01 001100

	E	NCLO	OSURES (Che	k all that apply)		
Amendm Af Af Af Extensio Express Informati Respons Incomple	nsmittal Form the Attached  Ident/Reply ter Final fidavits/Declaration(s)  In of Time Request  Abandonment Request  Identification Disclosure Statement  Copy of Priority Document(s)  Identification The Replication The Repl	Rema	Drawing(s)  Licensing-relate  Petition  Petition to Conv Provisional Appl Power of Attorne Change of Corre Address  Terminal Disclai  Request for Ref	d Papers  ert to a ication  ey, Revocation, espondence  mer	PTO Copy Copy	After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  uest for Certificate of Correction; -1050; y of Declaration/Power of Atty.; y of IDS and PTO-1449; Im Postcard
	SIGNATUR	E OF A	APPLICANT, AT	TORNEY OR AG	ENT	
Firm Name Signature Printed Name	RatnerPrestia  Damil N. C.  Daniel N. Calder	a I de				
Date	June 2, 2005			Registration No.	27,	424
	CERTIFICATE OF TRANSMISSION / MAILING					

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date June 2, 2005 Deborah Spratt Typed or Printed Name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number:

6,862,401 B1

Issued:

March 1, 2005

Name of Patentee:

Takashi Higashida, et al.

Title of Invention:

RECORDING APPARATUS, METHOD AND

PROGRAM RECORDING MEDIUM

# REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR PTO MISTAKE (37 C.F.R. § 1.322(a))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attention:

Certificate of Correction Branch

- 1. Attached in duplicate is Form PTO-1050 with at least one copy being suitable for printing.
- 2. Correction of the Official Letters Patent is respectfully requested in view of the following text which appears correctly in the application file:

On the cover page of the Letters Patent, item (75) Inventors, please insert: -- Yoshiki Kuno, Moriguchi (JP) -- as shown on the Declaration and Power of Attorney filed November 13, 2000

On the cover page of the Letters Patent, item (56) References Cited U.S. Patent Documents, please insert:
-- 5,548,757 8/1996 Matsuyama et al. -- as shown on the PTO-1449 mailed January 20, 2005

On the cover page of the Letters Patent, item (56) References Cited Foreign Patent Documents, please insert:

- -- EP 0 971 358 A 1/2000 --
- -- EP 0 399 853 A 11/1990 --
- -- EP 1 037 211 A 9/2000 --
- -- EP 0 584 804 A 3/1994 --

as shown on the PTO-1449 mailed January 20, 2005

#### 3. Please send the Certificate to:

Name:

Daniel N. Calder P.O. Box 980

Valley Forge, PA 19482

(610) 407-0700

Respectfully submitted,

Vanil N. Calder

Daniel N. Calder, Reg. No. 27,424 Attorney for Applicants

DNC/ds

Enclosure:

Form PTO-1050 (in duplicate)

Copy of IDS and PTO Form 1449

Copy of Declaration and Power of Attorney

Dated:

June 2, 2005

P.O. Box 980 Valley Forge, PA 19482-0980

(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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Deboral Spratt

**Deborah Spratt** 

DAS\_I:\MTS\3224US\CERTCORR.DOC



Hon. Commissioner of Patents & Trademarks

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Office "date-stamp" hereon and returning this card to
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FILE NO. "Dayw FEE DUE? (YES)NO AMT ENC'D

NAME: I High ashida of al

DOCUMENTS FOR 108212) Spec 4 claims (36pp).

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DOCUMENTS FOR 108212) Spec 4 claims (36pp).

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Declaration	and Power of English L	of Attorney For Patent A Language Declaration	Application
As a below named	inventor, I hereby dec	lare that:	JUN 0 6 2005
My residence, post	office address and cit	izenship are as stated below next to r	ny name,
first and joint inventant for which a pat	riginal, first and sole in tor (if plural names are ent is sought on the in	eventor (if only one name is listed below is listed below) of the subject matter w	w) or an original
		eto unless the following box is checke	ed:
and was amend I hereby state that	ded on (if applic I have reviewed and u	PCT International Application Number	dentified
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application(s) for pa which designated a identified below by	itent or inventor's cer t least one country o checking the box, an l application having a	inder 35 U.S.C. §119(a)-(d) or § 36 tificate, or § 365(a) of any PCT Inter ther than the United States, listed be by foreign application for patent or infilling date before that of the application Pri November 12, 1999	rnational application elow and have also ventor's certificate
(Number)	(Country)	(Day/Month/Year Filed)	
(Number)	(Country)	(Day/Month/Year Filed)	
I hereby claim the blisted below.	enefit under 35 U.S.C	C. § 119(e) of any United States provi	sional application(s)
(Application Number)	(Filing Date)		
(Application Number)	(Filing Date)		
any PCT Internation subject matter of e or PCT International acknowledge the CFR § 1.56 which	nal application designation of the claims of the claims of the ma I application in the madulty to discription of the manager of the contract o	2. § 120 of any United States applicated the United States, listed below his application is not disclosed in the unner provided by the first paragraph of mation which is material to patentability applications.	and, insofar as the prior United States of 35 U.S.C. § 112, ity as defined in 37

					,
(Application Number	)	(Filing Date)	(Status - pater	nted, pending, abandoned	)
———— (Application Number	)	(Filing Date)	(Status - pater	nted, pending, abandoned	)
POWER OF AT agent(s) to pros	ecute this app	named invento lication and tran	r, I hereby appoint sact all business in	the following atto the Patent and Tra	rney(s) and/or demark Office
Paul F. Prestia Allan Ratner Andrew L. Ney Kenneth N. Nigon Kevin R. Casey Benjamin E. Leace James C. Simmons	Reg.No. 23,031 Reg.No. 19,717 Reg.No. 20,300 Reg.No. 31,549 Reg.No. 32,117 Reg.No. 33,412 Reg.No. 24,842	Lawrence E. Ashery Robert L. Anderson Christopher R. Lewis Louis W. Beardell, J Rocco L. Adornato Jacques L. Etkowicz Eric A. Dichter	Reg.No. 25,771 s Reg.No. 36,201 r. Reg.No. 40,506 Reg.No. 40,480	Mark J. Marcelli Christopher J. Dervishian	Reg.No. 36,593 Reg.No. 42,480
Address all corre <u>Ratner &amp; Prest</u> Address all telep	a, Suite 301, O	 ne Westlakes, Be at (610) 407	rwyn, P.O. Box 980, 7-0700.	Valley Forge, PA 19	482-0980
statements ma statements wei made are punis	de on informa re made with hable by fine Code and that	ation and belief the knowledge or imprisonmer such willful fa	are believed to that willful fals nt, or both, under	n knowledge are trube true; and furth e statements and Section 1001 of Tay jeopardize the v	er that these d the like so itle 18 of the
	first inventor (given	name, family name) 東田 1	Takashi HIGASHI 全 记	Oatab	20 2000
Inventor's signature Residence Kobe - S Citizenship JAPAN		<del></del>		Date OCLODE	er 30, 2000
		Koyocho Naka,	Higashinada-ku, k	íobe-shi, Hyogo 658	3-0032 JAPAN
Full name of second	joint inventor, if an	y (given name, family	name) <u>Hide</u> ki TAKA	AHASHI ,	
Second Inventor's signature Residence Kawani Citizenship JAPAN Post Office Address	shi-shi, Hyogo N	•	wanishi-shi, Hyogo	Date Octobe	er 30, 2000
Additional inv	entors are being na	imed on separately n	umbered sheets attached	hereto.	

Full name of third joint inventor, if any (given name, family name) Kazuhiro MIHARA	4
一局折恤、	Ontober
Third inventor's signature 三原和博	DateOctober 30, 2000
Residence Mongaom Sm, Caara OAFAI	
Citizenship JAPAN	
Post Office Address 6 Higashi, 1-1004, Sotojimacho, Moriguchi-shi, Osa	ka 570-0096 JAPAN
Full name of fourth joint inventor, if any (given name, family name) Yoshiki KUNO	
刀鸭自料	0-1-1
Fourth inventor's signature	_ Date
Residence Mongadin Sin, Osaka JAPAN	
Citizenship JAPAN	
Post Office Address 14-26-204, Oedanishimachi, Moriguchi-shi, Osaka 5	70-0054 JAPAN
	·
Full name of fifth joint inventor, if any (given name, family name) Yuji TANIGAWA	
Fifth inventor's signature A 11 RA	Date October 30, 2000
Residence Hirakata-shi, Osaka JAPAN	
Citizenship JAPAN	
Post Office Address 1-6-26, Deguchi, Hirakata-shi, Osaka 573-0065 JAP	'AN
<del></del>	
	<u>-</u>
Full name of sixth joint inventor, if any (given name, family name)	
. an name of sixur joint inventor, it any (given name, ramily name)	
Sixth inventor's signature	D.4
Sixth inventor's signature	Date
Residence	
Citizenship	·
Post Office Address	
<del></del>	
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Full name of seventh joint inventor, if any (given name, family name)	
Seventh inventor's signature	Date
Residence	
Citizenship	
Post Office Address	
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January 20th 20 05

Please acknowledge receipt of the document of the addressive JAN 2 1 2005 in the reverse side.

Section 1.312 Amendment (2) - Pg. 2 Prelim. Amend (1)

Raurerricsua

O9 /711, 613



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

09/711,613

Applicant:

Takashi Higashida, et al.

Filed:

November 13, 2000

Title:

RECORDING APPARATUS, METHOD AND

PROGRAM RECORDING MEDIUM (as amended)

TC/A.U.:

2616

Examiner:

**Robert Chevalier** 

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The references were cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609A(3), second paragraph.



### STATEMENT UNDER 37 C.F.R. § 1.97(e)

The undersigned hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

The required fee of \$180. set forth in 37 C.F.R. § 1.17(p) is provided herewith.

Respectfully submitted,

Daniel N. Calder, Reg. No. 34,515

Attorney for Applicants

DNC/dmw

Enclosures: PTO Form 1449

(5) References Search Report Transmittal Form

PTO-2038

Dated: January 20, 2005

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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Donna M. Wellings

DMW\_I:\MTS\3224US\IDS.DOC

(E0/80 WA)

Substitute for Form 1449A/PTO Complete if Known **Application Number** 09/711,613 INFORMATION DISCLOSURE Filing Date November 13, 2000 STATEMENT BY APPLICANT First Named Inventor Takashi Higashida, et al. (Use as many sheets as necessary) Art Unit Robert Chevalier **Examiner Name** 

Attorney Docket No.

MTS-3224US

SHEET 1 of 2

্রা	~		U.S. PATENT D	OCUMENTS	7 (A)
	_	Document Number			Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2 (If known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
·		US-5,548,757	08/20/1996	TSUTOSHI MATSUYAMA, ET AL.	
	I	US-			
		US-			

	,	FOREIGN PA	TENT DOCUMEN	TS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	(MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	т°
		EP 0 971 358 A	01/12/2000	MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.		
		EP 0 399 853 A	11/28/1990	SHARP KABUSHIKI KAISHA		
		EP 1 037 211 A	09/20/2000	SONY CORPORATION		
		EP 0 584 804 A	03/02/1994	MITSUBISHI DENKI KABUSHIKI KAISHA		
	<u></u>					

Examiner	Date		
Signature	1 _	idered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for Form 1449B/PTO	A S	Complete if Known	
Substitute for Form 1449B/PTO	An oplication Number	09/711,613	
INFORMATION DISCLOSURE	Filing Date	November 13, 2000	
STATEMENT BY APPLICANT	First Named Inventor	Takashi Higashida, et al.	
(Use as many sheets as necessary)	Art Unit	2616	
	Examiner Name	Robert Chevalier	
SHEET 2 of 2	Attorney Docket No.	MTS-3224US	

	•	NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		European Search Report for EP 00 12 4561, dated December 15, 2004.	
		·	
Examiner	Ī	Date	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

³Applicant is to place a check mark here if English language translation is attached.

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(Also Form PTO-1050)

# UNITED STATES PATENT AND TRADEMARK OFFICE

#### CERTIFICATE OF CORRECTION

PATENT NO:

6,862,401 B1

DATED:

March 1, 2005

INVENTORS:

Takashi Higashida, et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

> Cover page, item (75) Inventors, insert: -- Yoshiki Kuno, Moriguchi (JP) --

Cover page, item (56) References Cited U.S. Patent Documents, insert: -- 5,548,757 8/1996 Matsuyama et al.

Cover page, item (56) References Cited Foreign Patent Documents, insert:

- -- EP 0 971 358 A 1/2000 --
- -- EP 0 399 853 A 11/1990 --
- -- EP 1 037 211 A 9/2000 --
- -- EP 0 584 804 A 3/1994 --

Mailing Address of Sender:

Patent No.

6,862,401 B1

No. of Additional Copies:

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- -- EP 0 584 804 A 3/1994 --

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Patent No.

6,862,401 B1

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